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Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 41148  
Application ID: 09844717  
Title of Invention: DATABASE SECURITY  
First Named Inventor: Arun Shah  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2001-04-27  
Effective Receipt Date: 2003-05-29  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 9551  
Attorney Docket Number: NONE



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JUN 03 2003  
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Total Fees Authorized: 180.0  
Payment Category: Deposit Account  
Deposit Account Number: 130480  
Deposit Account Name: Brian C. McCormack  
Access Code: \*\*\*\*  
RAM Payment Status: RAM success  
RAM User ID: EFSPROD  
RAM Accounting Date: 2003-05-29  
RAM Sequence Number: 23

Digital Certificate Holder: cn=Brian Charles McCormack,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: ca642cba30ce4b0774b87a9dfe4e8539815cac46

**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>DATABASE SECURITY</b>														
<table><tr><td>Application Number: 09/844717</td><td rowspan="4"><b>RECEIVED</b> JUN 03 2003 Technology Center 2100</td></tr><tr><td>Date: 2001-04-27</td></tr><tr><td>First Named Applicant: Arun Shah</td></tr><tr><td>Confirmation Number: 9551</td></tr><tr><td colspan="2">Attorney Docket Number:</td></tr></table>		Application Number: 09/844717	<b>RECEIVED</b> JUN 03 2003 Technology Center 2100	Date: 2001-04-27	First Named Applicant: Arun Shah	Confirmation Number: 9551	Attorney Docket Number:								
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<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Brian C. McCormack Registered Number: 36601</td><td>Brian C. McCormack</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Brian C. McCormack Registered Number: 36601	Brian C. McCormack	Attorney								
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	us-ids.xsl														

## Comments

This Information Disclosure Statement is being filed electronically prior to the receipt of the first office action. Applicants do not believe that any fees are due at this time. Applicants believe that the fee in the amount of \$180 being charged for this electronic submission is in error. Applicants respectfully request that this charge be reviewed prior to withdrawal from the deposit account. However, the Commissioner is hereby authorized to withdraw the fee if required.



## FEE TRANSMITTAL

Electronic Version v08  
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<b>Title of Invention</b>	<b>DATABASE SECURITY</b>								
<p>Application Number: 09/844717 Date: 2001-04-27 First Named Applicant: Arun Shah Attorney Docket Number: Art Unit: 2131</p> <p style="text-align: right;"><b>RECEIVED</b> JUN 03 2003 Technology Center 2100</p>									
<b>TOTAL FEE AUTHORIZED \$180</b>  Patent fees are subject to annual revisions on or about October 1st of each year.									
<b>BASIC FILING FEE</b>									
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>		Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$						
Submission Of Information Disclosure Stmt Fee	1806	180	180						
<b>AUTHORIZED BILLING INFORMATION</b> The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit account number: 130480 Access Code ***** Deposit name: BakerMcKenzie Deposit authorized name: Brian C. McCormack Signature: Brian C. McCormack Date (YYYYMMDD): 2003-05-28									



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of  
Invention

DATABASE SECURITY

Application Number: 09/844717

Confirmation Number: 9551

First Named Applicant: Arun Shah

Attorney Docket Number:

Art Unit: 2131

Search string: ( 6163774 or 6282544 or 6493699 or 6505205  
or 6161103 or 6199063 or 6212515 or 6377993  
or 6385604 or 6480836 or 6484179 or 6542895  
or 6556983 or 6366922 ).pn.

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6163774	2000-12-19	Lore et al.			
	2	6282544	2001-08-28	Tse et al.			
	3	6493699	2002-08-27	Silverbrook			
	4	6505205	2003-01-07	Kothuri et al.			
	5	6161103	2000-12-12	Rauer et al.			
	6	6199063	2001-03-06	Colby et al.			
	7	6212515	2001-04-03	Rogers			
	8	6377993	2002-04-23	Brandt et al.			

	9	6385604	2002-05-07	Bakalash et al.
	10	6480836	2002-11-12	Colby et al.
	11	6484179	2002-11-19	Roccaforte
	12	6542895	2003-04-01	DeKimpe et al.
	13	6556983	2003-04-29	Alstchuler et al.
	14	6366922	2002-04-02	Althoff

**Signature**

<b>Examiner Name</b>	<b>Date</b>